

## FORMALITIES LETTER

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

# Sector

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/483,062	01/14/2000	David B. Quinones	AND1P418

02,3053  
HICKMAN STEPHENS & COLEMAN  
1901 SOUTH BASCOM AVENUE  
SUITE 660  
CAMPBELL, CA 95008

Date Mailed: 03/16/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

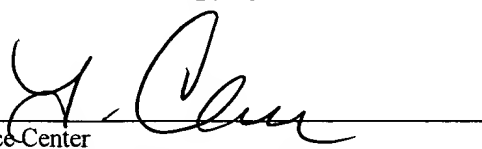
*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

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*A copy of this notice **MUST** be returned with the reply.*

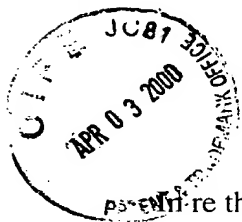
  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/05/2000 KZENDIE 00000115 500797 09483062

01 FC:101	690.00 CH
02 FC:105	130.00 CH



PATENT

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re the application of:

David B. Quinones

Application No. 09/483,062

Filed: January 14, 2000

For: SYSTEM, METHOD AND  
ARTICLE OF MANUFACTURE FOR  
AN APPLICATION SERVER PROVIDER  
FRAMEWORK

)  
) Examiner: Not Assigned  
)  
) Art Unit: Not Assigned  
)  
) Atty. Docket No.: AND1P418  
)  
) Date: March 29, 2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 29, 2000.

Signed:

Kimberly A. Main

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
**Attention: Box Missing Parts**  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted mailed March 16, 2000, Applicants hereby attach an original executed Declaration and Power of Attorney, an Assignment document, and an Assignment Recordation Cover Sheet, and the copy of the Notice to be returned with this response.

The Commissioner is authorized to charge any other fees that may be due to our Deposit Account No. 50-0797 (Order No. AND1P418). A copy of this sheet is enclosed for this purpose.

Respectfully submitted,  
HICKMAN STEPHENS COLEMAN & HUGHES, LLP

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Attorney Docket No. AND1P418